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## Nocturnal non-invasive positive pressure ventilation for stable chronic obstructive pulmonary disease

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## Nocturnal non-invasive positive pressure ventilation for stable chronic obstructive pulmonary disease (Review)

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[Intervention Review]

# Nocturnal non-invasive positive pressure ventilation for stable chronic obstructive pulmonary disease

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## ABSTRACT

### Background

Non-invasive positive pressure ventilation (NIPPV) is effective in treating acute exacerbations of chronic obstructive pulmonary disease (COPD). Nocturnal non-invasive positive pressure ventilation (nocturnal-NIPPV) has been proposed as an intervention for stable hypercapnic patients with COPD.

### Objectives

To assess the effects of nocturnal-NIPPV at home via nasal mask or face mask in people with COPD by using a meta-analysis based on individual patient data (IPD).

### Search methods

We searched the Cochrane Airways Group Specialised Register. We performed the latest search in August 2012.

### Selection criteria

Randomised controlled trials in people with stable COPD that compared nocturnal-NIPPV at home for at least five hours per night, for at least three consecutive weeks plus standard therapy with standard therapy alone.

### Data collection and analysis

IPD were collected and two review authors assessed risk of bias independently.

### Main results

This update of the systematic review on nocturnal-NIPPV in COPD (Wijkstra 2002), has led to the inclusion of three new studies, leading to seven included studies on 245 people. We obtained IPD for all participants in all included studies. The 95% confidence interval (CI) of all outcomes included zero. These included partial pressure of CO<sub>2</sub> and O<sub>2</sub> in arterial blood, six-minute walking distance (6MWD), health-related quality of life (HRQoL), forced expiratory volume in one second (FEV<sub>1</sub>), forced vital capacity (FVC), maximal inspiratory pressure (P<sub>Imax</sub>) and sleep efficiency. The mean effect on 6MWD was small at 27.7 m and not statistically significant. Given the width of the 95% CI (-28.1 to 66.3 m), the real effect of NIPPV on 6MWD is uncertain and we cannot exclude an effect that is clinically significant (considering that the minimal clinically difference on 6MWD is around 26 m).

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**Nocturnal non-invasive positive pressure ventilation for stable chronic obstructive pulmonary disease (Review)**

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I

## Authors' conclusions

Nocturnal-NIPPV at home for at least three months in hypercapnic patients with stable COPD had no consistent clinically or statistically significant effect on gas exchange, exercise tolerance, HRQoL, lung function, respiratory muscle strength or sleep efficiency. Meta-analysis of the two new long-term studies did not show significant improvements in blood gases, HRQoL or lung function after 12 months of NIPPV. However, the small sample sizes of these studies preclude a definite conclusion regarding the effects of NIPPV in COPD.

## PLAIN LANGUAGE SUMMARY

### **Non-invasive positive pressure ventilation (ventilators) used at night by people with stable chronic obstructive pulmonary disease (COPD)**

**Background:** Non-invasive positive pressure ventilation (NIPPV) is a method to assist or replace spontaneous breathing (or normal breathing) with the aid of a machine called a ventilator. A mask is fitted over the nose or mouth, or both and air is pushed into the lungs. It can be used as a short-term measure, during critical instances in the hospital, but also at home for longer periods in people who have raised levels of carbon dioxide in their blood. We wanted to discover whether using NIPPV at home during the night alongside standard therapy was better or worse than standard therapy alone in people with chronic obstructive pulmonary disease (COPD). COPD is a progressive disease that makes it hard to breathe. In 2002, we published our original Cochrane review looking at this. It is important to check if new studies have been published that could be added to the existing studies in the review. In this review, we performed a new search and found new studies and, therefore, this is an update of the review published in 2002.

**What is individual patient data?** In this review we used individual patient data. This means we collected original research data for each participant from the original researchers who performed the studies. We used this information to perform our calculations.

**Review question:** What is the effect of NIPPV in people with COPD on blood gases, six-minute walking distance, health-related quality of life, lung function, respiratory muscle function and sleep efficiency.

**Study characteristics:** The evidence is current to August 2012. We found seven studies that reported the effects of NIPPV at home. Five of these studies looked at the effects after using NIPPV compared to regular treatment (without NIPPV) for at least three months. Two studies looked for a longer period of time, for at least 12 months. The mean age of all participants included in our meta-analysis was 67 years. All studies included men and women, but 77% of participants were men. We used data from 245 people for our meta-analysis.

**Results:** NIPPV during the night for 3 and 12 months in people with COPD who had raised levels of carbon dioxide had no clinically or statistically significant effect on gas exchange, six-minute walking distance, health-related quality of life, lung function, respiratory muscle strength and sleep efficiency. This means we found little or no difference in the outcomes.

**Quality of the results:** Because some trials had very small numbers of participants, our confidence in the quality of evidence is moderate when looking at the effects on gas exchange. All seven trials measured this outcome. Other outcomes were not always measured or available leading to a lower quality of evidence for the other outcomes such as six-minute walking distance, health-related quality of life, lung function, respiratory muscle function and sleep efficiency.

## SUMMARY OF FINDINGS FOR THE MAIN COMPARISON *[Explanation]*

Nocturnal non-invasive positive pressure ventilation compared with standard treatment for severe COPD						
<b>Patient or population:</b> adults with severe COPD <b>Settings:</b> home treatment <b>Intervention:</b> nocturnal non-invasive positive pressure ventilation (nocturnal-NIPPV) <sup>1</sup> <b>Comparison:</b> standard treatment						
Outcomes	Illustrative comparative risks* (95% CI)		Relative effect (95% CI)	No of Participants (studies)	Quality of the evidence (GRADE)	Comments
	Assumed risk	Corresponding risk				
	Standard treatment	Nocturnal-NIPPV				
<b>Arterial blood gas tension: PaO<sub>2</sub> after 3 months</b> mmHg	The mean PaO <sub>2</sub> in the control group was <b>53.2</b> mmHg	The mean PaO <sub>2</sub> in the intervention group was <b>1.30 higher</b> (95% CI -0.71 to 3.30) <sup>2</sup>		162 (6 studies)	⊕⊕⊕○ <b>moderate</b> <sup>3</sup>	
<b>Arterial blood gas tension: PaCO<sub>2</sub> after 3 months</b> mmHg	The mean PaCO <sub>2</sub> in the control group was <b>52.9</b> mmHg	The mean PaCO <sub>2</sub> in the intervention group was <b>2.50 lower</b> (95% CI -5.28 to 0.29) <sup>2</sup>		162 (6 studies)	⊕⊕⊕○ <b>moderate</b> <sup>3</sup>	
<b>6MWD after 3 months</b> metres	The mean 6MWD in the control group was <b>324</b> m	The mean 6WMD in the intervention group was <b>27.7 higher</b> (95% CI -11.0 to 66.3)		40 (3 studies)	⊕⊕○○ <b>low</b> <sup>3,4</sup>	
<b>Quality of life: SGQR after 12 months</b> total score on a scale from 0 to 100	The mean SGRQ in the control group was <b>60.1</b> <sup>5</sup>	The mean SGRQ Total score in the intervention group was <b>0.90 higher</b> (95% CI -19.21 to 21.01)		103 (2 studies)	⊕⊕○○ <b>low</b> <sup>3,6</sup>	Quality of life was not measured after 3 months in any study. Different questionnaires were used measuring quality of

				life after 12 months and only the SGRQ was measured by both long-term studies with follow-up of 12 months
<b>Lung function: FEV<sub>1</sub> after 3 months</b> litres	The mean FEV <sub>1</sub> in the control group was <b>0.82 L</b>	The mean FEV <sub>1</sub> in the intervention group was <b>0.01 lower</b> (95% CI -0.09 to 0.07) <sup>7</sup>	83 (5 studies)	⊕⊕○○ <b>low</b> <sup>3,4</sup>

\* The basis for the **assumed risk** was the mean control group risk across studies.

**6MWD**: 6-minute walking distance; **CI**: confidence interval; **FEV<sub>1</sub>**: forced expiratory volume in 1 second; **NIPPV**: non-invasive positive pressure ventilation; **PaCO<sub>2</sub>**: arterial carbon dioxide tension; **PaO<sub>2</sub>**: arterial oxygen tension; **SGRQ**: total score on the St. George's respiratory questionnaire.

GRADE Working Group grades of evidence

**High quality**: Further research is very unlikely to change our confidence in the estimate of effect.

**Moderate quality**: Further research is likely to have an important impact on our confidence in the estimate of effect and may change the estimate.

**Low quality**: Further research is very likely to have an important impact on our confidence in the estimate of effect and is likely to change the estimate.

**Very low quality**: We are very uncertain about the estimate.

<sup>1</sup> Nocturnal non-invasive ventilation was delivered through nose masks in five studies and by either a nasal or full-face mask in two studies.

<sup>2</sup> There was no significant change in PaO<sub>2</sub> or PaCO<sub>2</sub> after 12 months.

<sup>3</sup> The confidence intervals cross zero, therefore there was no difference and could include both possible benefit and harm.

<sup>4</sup> The number of participants was low.

<sup>5</sup> Scores are expressed as a percentage of overall impairment where 100 represents worst possible health status.

<sup>6</sup> The number of trials was low.

<sup>7</sup> There was no significant change in FEV<sub>1</sub> after 12 months.

## BACKGROUND

### Description of the condition

Chronic obstructive pulmonary disease (COPD) is an important cause of morbidity and mortality worldwide. There are several therapeutic options to help people with COPD manage their symptoms, but to date, only smoking cessation and the provision of long-term oxygen therapy to hypoxic patients have been shown to prolong life (Crockett 2001). Usually, treatment is with bronchodilators and anti-inflammatory drugs (corticosteroids), although the latter is still controversial in people with COPD. When medication doses are optimal and people still have dyspnoea or an impaired exercise tolerance, pulmonary rehabilitation can be added to medical therapy (Lacasse 2006). In people with more severe COPD, bronchoscopic lung volume reduction (Slebos 2012), lung volume reduction surgery (Cooper 1997), and, in extreme cases, lung transplantation (Orens 2006), can be considered. In people with COPD with chronic hypercapnic respiratory failure nocturnal non-invasive positive pressure ventilation (nocturnal-NIPPV) might be beneficial.

### Description of the intervention

In non-invasive positive pressure ventilation (NIPPV), the person receives ventilatory support through a non-invasive interface, such as a nasal mask, full-facemask or helmet. NIPPV is currently applied as evidence-based therapy in people with COPD admitted to hospital with acute hypercapnic respiratory failure due to an exacerbation. It has been shown that NIPPV reduces hospital deaths and complications associated with treatment and length of hospital stay (Ram 2004). NIPPV during an acute exacerbation is often applied intermittently or continuously for a few days to reduce the (life-threatening) ventilatory failure, after which the person is weaned from ventilation and treatment is ended. Chronic nocturnal-NIPPV, however, entails the use of NIPPV at home during the night for a longer period. Currently there is much discussion about the need for NIPPV in COPD, mainly because conflicting results have been published (Rossi 2000). There is consensus, but with little supportive evidence, that people with COPD who have substantial daytime hypercapnia and superimposed nocturnal hypoventilation are most likely to benefit from NIPPV (Hill 2004).

### How the intervention might work

Several theories exist as to why nocturnal-NIPPV might be beneficial. First, nocturnal-NIPPV might rest chronically fatigued muscles. Periods of rest may lead to recovery of the inspiratory muscle function, thereby leading to an increased muscle strength and endurance capacity of the respiratory muscles during the daytime (Ambrosino 1990). Second, nocturnal-NIPPV has been shown to

improve sleep time and efficiency (Meecham 1995), as people with severe COPD can experience poor sleep quality due to sleep-disordered breathing with episodes of hypoventilation associated with desaturation. Third, nocturnal-NIPPV may ameliorate nocturnal hypoventilation and allow the respiratory centre to be reset. In this way nocturnal-NIPPV may reduce daytime hypercapnia (Elliott 1991). Fourth, it is postulated that nocturnal-NIPPV decreases hyperinflation leading to an improvement in respiratory mechanics, such as an increase in forced expiratory volume in one second (FEV<sub>1</sub>) and a decrease in residual volume (Duiverman 2011).

### Why it is important to do this review

Despite all these theories, the effect of nocturnal-NIPPV in people with stable severe COPD remains unclear and needs further investigation. This is an update of a Cochrane review first published in 2002. Since then, more studies have been reported, making an update necessary. As in 2002, we performed a systematic review and meta-analysis based on individual patient data (IPD). With IPD, we collected original research data for each participant from the original researchers for data checking, validation and re-analysis. This gives an advantage over the conventional meta-analysis based on summary statistics from published papers as more or different analyses are possible. IPD meta-analyses have greater power, enabling investigation of additional hypothesis related to individual characteristics, for example within subgroups or treatment across trials, or both.

## OBJECTIVES

To assess the effects of nocturnal-NIPPV at home via nasal mask or face mask in people with stable COPD by using a meta-analysis based on IPD.

## METHODS

### Criteria for considering studies for this review

#### Types of studies

Randomised controlled trials (RCTs) in people with stable COPD comparing nocturnal-NIPPV at home plus standard therapy with standard therapy alone.

#### Types of participants

People with COPD according to the guidelines of American Thoracic Society (ATS 1995).



## Types of interventions

NIPPV, applied through a nasal or face mask, for at least five hours during the night, for at least three consecutive weeks. Participants also received their usual standard COPD therapy, which comprised supplemental oxygen, bronchodilators, theophylline and corticosteroids.

The intervention in the control group was standard therapy alone. The control group did not receive nocturnal-NIPPV.

## Types of outcome measures

### Primary outcomes

1. Arterial blood gas tensions (partial pressure of carbon dioxide in the blood ( $\text{PaCO}_2$ ), partial pressure of oxygen in the blood ( $\text{PaO}_2$ )).
2. Six-minute walking distance (6MWD).
3. Health status (health-related quality of life (HRQoL) measurements).

### Secondary outcomes

1. Lung function ( $\text{FEV}_1$  and forced vital capacity (FVC)).
2. Respiratory muscle function (muscle strength, including maximal inspiratory pressure ( $\text{P}_{\text{Imax}}$ )).
3. Sleep efficiency (time asleep as percentage of total time in bed).
4. Dyspnoea.

## Search methods for identification of studies

### Electronic searches

We identified trials from the Cochrane Airways Group Specialised Register (CAGR), which is maintained by the Trials Search Co-ordinator for the Group. The Register contains trial reports identified through systematic searches of bibliographic databases including the Cochrane Central Register of Controlled Trials (CENTRAL), MEDLINE, EMBASE, CINAHL, AMED and PsycINFO, and handsearching of respiratory journals and meeting abstracts (see [Appendix 1](#) for further details).

For the original version of this review we carried out a search on all COPD records in the Register using the terms: nasal ventilat\* OR positive pressure OR NIPPV. For this update, a search was done in all COPD records in the Register using the following search string:

(nasal OR mechanical OR noninvasive OR non-invasive or “non invasive” or positive OR intermittent OR bi-level OR “bi level” OR airway\* OR controlled OR pressure OR support AND (ventilat\*) OR (NIPPV).

We conducted this most recent search in August 2012.

## Searching other resources

We searched the bibliographies of each RCT for additional papers that may have contained RCTs. We contacted authors of identified RCTs for other published and unpublished studies.

## Data collection and analysis

### Selection of studies

For the 2002 version of this review, two review authors (PJW, RSG) independently assessed all identified abstracts and for the 2013 update this was done by PJW and FMS. When we selected an abstract, full papers were retrieved and read in detail by the same two review authors and disagreements were resolved by discussion with a third review author.

### Data extraction and management

After identification of studies, we contacted trial authors to ask for the IPD including anthropometric data and follow-up data of the identified outcome variables. We requested missing data from the included primary studies from the authors. We checked supplied data against study publications after which we copied raw data from all included studies to one main database.

### Assessment of risk of bias in included studies

Two review authors (FMS and PJW) assessed risk of bias of each study independently (for details see ‘Risk of bias’ table in [Characteristics of included studies](#)). We used criteria for assessment of risk of bias as provided in the *Cochrane Handbook for Systematic Reviews of Interventions* ([Higgins 2011](#)). We considered potential for bias using the following domains:

- sequence generation;
- allocation concealment;
- blinding of participants, personnel and outcome measures;
- incomplete outcome data;
- selective outcome reporting; and
- other sources of bias.

We judged each domain as ‘high’, ‘low’ or ‘unclear’ risk of bias. If insufficient details were reported, the judgement was ‘unclear’ of risk of bias.

### Measures of treatment effect

The principal investigators of all the trials included in the meta-analysis kindly provided the individual data for each of their study subjects. We therefore conducted an individual data meta-analysis. We expressed study outcomes in the same natural units across the trials. For each individual and for each outcome, we calculated an absolute difference in score that defined treatment effect. An

overall treatment effect (mean difference and associated 95% confidence interval (CI)) was obtained from the difference in scores under each study condition (NIPPV minus controls). Different proportions of participants contributed to the different outcomes.

### Unit of analysis issues

In the case of cross-over trials, we considered only the first study period (prior to the cross-over).

### Dealing with missing data

We contacted trialist and obtained IPD for all trials.

### Assessment of heterogeneity

To consider the homogeneity among trials, a random factor was defined in the statistical models. Statistical significance ( $P$  value  $< 0.05$ ) in the test of homogeneity suggested that the observed difference in the treatment effects was in part attributable to the study effect.

### Assessment of reporting biases

We planned to inspect a funnel plot visually if we were able to meta-analyse 10 or more trials for an outcome.

### Data synthesis

We analysed IPD using a linear mixed model to compare the treatment effects. Treatment and time of follow-up (3 and 12 months) were analysed with interaction terms as fixed factors. We performed all the analyses using SAS version 9.3 (SAS Institute, Caru, NC).

### Subgroup analysis and investigation of heterogeneity

We considered subgroup analyses if sufficient numbers of studies and a large enough sample size were to be included in the analysis and if we found significant heterogeneity among the outcomes of the trials. We identified a priori potential sources of heterogeneity among the primary and secondary outcomes. We postulated the following sources of heterogeneity:

1. the more hypercapnic patients might benefit more from NIPPV;
2. the benefits of NIPPV might be greater among those who used it for longer periods;
3. people who received higher levels of inspiratory airway pressure (IPAP) might have a greater benefit of NIPPV.

## RESULTS

### Description of studies

See: [Characteristics of included studies](#).

### Results of the search

The search conducted up to August 2012 identified 687 records of which we retrieved 17 full-text papers for further examination. We reduced the publications to 14 potentially eligible papers. We excluded five studies for the following reasons: not randomised ([Clini 1998](#); [Kamei 1999](#)); duration of NIPPV too short (less than four hours per night and at daytime) ([Diaz 1999](#); [Renston 1994](#)); training of NIPPV too short (less than three weeks) ([Lin 1996](#)). One study is awaiting classification as the authors failed to respond to clarify important issues ([Xiang 2007](#)). This update identified three new trials ([Clini 2002](#); [McEvoy 2009](#); [Sin 2007](#)), and together with the four trials from the original review ([Casanova 2000](#); [Gay 1996](#); [Meecham Jones 1995](#); [Strumpf 1991](#)), we included seven studies in the meta-analysis.

### Included studies

Seven studies met the inclusion criteria for the review ([Casanova 2000](#); [Clini 2002](#); [Gay 1996](#); [McEvoy 2009](#); [Meecham Jones 1995](#); [Sin 2007](#); [Strumpf 1991](#)). The [Characteristics of included studies](#) table shows full details of the included studies. Five studies provided data on NIPPV after three months and are classified as 'short term' ([Casanova 2000](#); [Clini 2002](#); [Gay 1996](#); [Meecham Jones 1995](#); [Sin 2007](#)), while two studies provide data after 12 months and are defined as 'long term' ([Clini 2002](#); [McEvoy 2009](#)). We obtained IPD for each of these studies from the trial authors. We provided summary details below.

### Trial design

Five studies were parallel in design ([Casanova 2000](#); [Clini 2002](#); [Gay 1996](#); [McEvoy 2009](#); [Sin 2007](#)) and two were cross-over in design ([Meecham Jones 1995](#); [Strumpf 1991](#)).

### Participants

The seven included studies were based in different countries: Spain ([Casanova 2000](#)), Italy ([Clini 2002](#)), USA ([Gay 1996](#); [Strumpf 1991](#)), Australia ([McEvoy 2009](#)), UK ([Meecham Jones 1995](#)), and Canada ([Sin 2007](#)). Five studies compared nocturnal-NIPPV with standard treatment ([Casanova 2000](#); [Clini 2002](#); [McEvoy 2009](#); [Meecham Jones 1995](#); [Strumpf 1991](#)), and two studies compared nocturnal-NIPPV with sham treatment in the form of continuous positive airway pressure (CPAP) at 2 and 4 cm H<sub>2</sub>O ([Gay 1996](#); [Sin 2007](#)). Nocturnal-NIPPV was delivered through a nasal mask in five studies ([Casanova 2000](#); [Clini 2002](#); [Gay 1996](#); [Meecham Jones 1995](#); [Strumpf 1991](#)), and by a either a nasal or full-face mask in two studies ([McEvoy 2009](#); [Sin 2007](#)). The mean age of all participants included in the IPD meta-analysis was 67 years. All

studies included men and women; 77% were men. Mean FEV<sub>1</sub> was 0.73 L and mean PaCO<sub>2</sub> was 53 mmHg. Mean IPAP for the short-term studies was 14.7 cm H<sub>2</sub>O and for the long-term studies was 13.6 cm H<sub>2</sub>O. Nocturnal-NIPPV was applied for a mean of 6.7 hours in the short-term studies and 6.6 hours in the long-term studies.

#### **Funding of trial**

Six studies were funded by their National Respiratory Society/Foundation (Casanova 2000; Clini 2002; Gay 1996; McEvoy 2009; Meecham Jones 1995; Sin 2007), from which two were also partly funded by an industrial company (Clini 2002; McEvoy

2009). One study was funded by an industrial company alone (Strumpf 1991).

#### **Excluded studies**

The [Characteristics of excluded studies](#) table provides full details of the excluded studies.

#### **Risk of bias in included studies**

The [Characteristics of included studies](#) table provides details of risk of bias in the included studies. [Figure 1](#) shows a summary of our risk of bias judgements across studies.

**Figure 1. Risk of bias summary: review authors' judgements about each methodological quality item for each included study.**

	Random sequence generation (selection bias)	Allocation concealment (selection bias)	Blinding of participants and personnel (performance bias)	Incomplete outcome data (attrition bias)	Selective reporting (reporting bias)	Other bias
Casanova 2000	+	+	+	+	?	+
Clini 2002	+	+	+	+	?	+
Gay 1996	+	+	+	-	?	+
McEvoy 2009	+	+	+	+	?	+
Meecham Jones 1995	+	+	+	+	?	+
Sin 2007	+	+	+	+	?	?
Strumpf 1991	+	+	+	-	?	+

## Allocation

All studies were described as randomised and described the method of randomisation adequately. Allocation concealment was also deemed to be adequate in all studies, four of them describing a centralised randomisation office or independent person. In the other three cases, sequentially numbered, opaque, sealed envelopes were used.

## Blinding

Given the nature of the intervention it can be difficult to blind participants; however, two studies used a sham-device (Gay 1996; Sin 2007). In one study, personnel were also blinded for the treatment allocation making this the only study that we could classify as a low risk of bias in this area (Sin 2007). Three studies had blinding for all physiological measurements (Casanova 2000; Clini 2002; Strumpf 1991), two had no blinding (McEvoy 2009; Meecham Jones 1995), and one was unclear (Gay 1996). However, we judged that outcome measurement was not likely to be influenced by lack of blinding for personnel and, therefore, overall we judged all studies as low risk of bias.

## Incomplete outcome data

In one of the cross-over studies, only seven out of the 19 randomised participants completed both arms (Strumpf 1991). Another study reported that four out of seven participants randomised to NIPPV completed the trial, as opposed to all six in the sham group (Gay 1996). Both studies were classified as high risk of attrition bias. Two other studies also had dropouts and did not perform intention-to-treat (ITT) analyses but as numbers due to intolerance were small these studies were classified as low risk (Meecham Jones 1995; Sin 2007). The three remaining studies all reported ITT analyses as well as per-protocol-analyses (or stated that "Inclusion of the patients who did not complete the trial (intent to treat) did not affect any of the outcomes") and were therefore considered as low risk of bias (Casanova 2000; Clini 2002; McEvoy 2009). In addition, in the long-term studies, reasons for missing data were not substantially different between both groups, for example; not being able to come for re-testing due to a worsening of the disease (Clini 2002; McEvoy 2009).

## Selective reporting

We could not find the original protocols to check if the prespecified outcomes were all reported in the articles, so in this area the risk of bias was unclear. However, all outcomes listed in the methods section of the studies were reported in the results section.

## Other potential sources of bias

We did not find any other sources of bias.

## Effects of interventions

See: **Summary of findings for the main comparison** Nocturnal non-invasive positive pressure ventilation compared with standard treatment for severe COPD

Table 1 shows the results of the meta-analysis based on IPD. There is a difference between the number of participants included in the studies and the number included in the meta-analysis. There were some dropouts in most studies, for the short-term follow-up this was often due to intolerance of the nose mask, intercurrent infections or participants no longer meeting the inclusion criteria after a stabilisation period. In both studies looking at long-term effects, this was often due to progression of the disease and reluctance of participants to return to hospital for follow-up measurements. Finally, not all parameters were measured in all participants and, therefore, there is a difference in number of participants per outcome. In total, 245 participants were included in the IPD meta-analysis.

## Arterial blood gas tensions

**Three-month follow-up:** all five short-term studies contributed data towards this outcome (Casanova 2000; Gay 1996; Meecham Jones 1995; Sin 2007; Strumpf 1991), as well as the Italian long-term study (Clini 2002), which also provided data after three months. In total, 162 participants were analysed for blood gases. The 95% CI of PaO<sub>2</sub> and PaCO<sub>2</sub> included zero, hence they were not statistically significant. PaCO<sub>2</sub> did show a trend towards significance with the 95% CI only just exceeding zero (mean difference (MD) -2.50, 95% CI -5.28 to 0.29).

**Twelve-month follow-up:** two studies (Clini 2002; McEvoy 2009), with 118 participants gathered data for this outcome. There was no significant difference in PaO<sub>2</sub> and PaCO<sub>2</sub> between standard care and NIPPV groups after 12 months (PaO<sub>2</sub> MD -1.77, 95% CI -8.60 to 5.07; PaCO<sub>2</sub> MD -0.96, 95% CI -3.55 to 1.64).

## Six-minute walking distance

**Three-month follow-up:** three studies with 40 participants measured 6MWD (Gay 1996; Meecham Jones 1995; Sin 2007). Meta-analysis showed a moderate treatment effect on 6MWD (MD 27.7, 95% CI -11.0 to 66.3), but this difference was not statistically different. Exercise endurance was reported by one study and determined by measuring treadmill walking time and could not be included in the meta-analysis (Strumpf 1991).

**Twelve-month follow-up:** as only one study measured 6MWD after 12 months meta-analysis was not possible (Clini 2002).

## Health status

**Three-month follow-up:** only one study measured HRQoL after three months using the St. George's Respiratory Questionnaire making meta-analysis impossible (Meecham Jones 1995).

**Twelve-month follow-up:** Both long-term studies measured HRQoL in 103 participants after 12 months using three different questionnaires (Short Form-36 item (SF-36) questionnaire by McEvoy 2009; Maugeri Respiratory Failure questionnaire-28 by Clini 2002; St. George's Respiratory Questionnaire by Clini 2002 and McEvoy 2009), making it possible to only combine results for the St. George's Respiratory Questionnaire. The overall treatment effect was very small and was not significant (MD 0.90, 95% CI -19.21 to 21.01) and was found to be heterogeneous (P value = 0.03).

## Lung function

**Three-month follow-up:** all five short-term studies with 83 participants provided data for FEV<sub>1</sub> and FVC (Casanova 2000; Gay 1996; Meecham Jones 1995; Sin 2007; Strumpf 1991). Very small and non-significant results were found for FEV<sub>1</sub> (MD -0.01 L, 95% -0.09 to 0.07) and no effects were found for FVC (MD 0.00 L, 95% CI -0.13 to 0.14) after three months.

**Twelve-month follow-up:** both long-term studies measured FEV<sub>1</sub> and FVC in 125 participants after 12 months (Clini 2002; McEvoy 2009). The 95% CIs of FEV<sub>1</sub> and FVC included zero (FEV<sub>1</sub> MD -0.01 L, 95% CI -0.07 to 0.04; FVC MD 0.04 L, 95% CI -0.12 to 0.20), hence they were not statistically significant.

## Respiratory muscle function

**Three-month follow-up:** three studies with 48 participants provided data for PImax and PEmax (Casanova 2000; Gay 1996; Strumpf 1991). The improvement in PImax was not statistically different (MD 4.87 cm H<sub>2</sub>O, 95% CI -1.48 to 11.21). PEmax showed a non-significant improvement after three months NIPPV (MD 22.09 cm H<sub>2</sub>O, 95% CI -23.53 to 67.70) but with significant heterogeneity. The 95% CI was very wide, probably due to the small number of trials and consequently participants, and therefore we did not perform subgroup analyses.

**Twelve-month follow-up:** as only one study reported data on PImax (Clini 2002), and none on PEmax, no meta-analyses were undertaken for these outcomes.

## Sleep efficiency

**Three-month follow-up:** three studies with 24 participants provided data for sleep efficiency (Gay 1996; Meecham Jones 1995; Strumpf 1991) showing a small negative effect after three months (MD -9.11, 95% CI -38.09 to 19.86). This effect was heterogeneous. The study by Strumpf et al with only seven participants, reported a very broad 95% CI (MD 25.4, 95% CI -69.17 to 70.4).

Subgroup analysis was not performed due to the low number of trials.

**Twelve-month follow-up:** sleep quality was measured differently by both long-term studies. One reported on sleep efficiency by measuring time asleep as percentage of total time in bed (McEvoy 2009), but only performed follow-up measurements in the NIPPV group. The other study also measured sleep quality but by means of a semi-qualitative multipoint scale of 1 to 4 (Clini 2002). No meta-analyses could be performed.

## Dyspnoea

**Three-month follow-up:** dyspnoea was measured in two studies, but as they were measured with different scales (the Medical Research Council (MRC) scale and Borg scale by Casanova 2000 or Transitional Dyspnea Index (TDI) by Strumpf 1991), data could not be combined.

**Twelve-month follow-up:** one study (Clini 2002) measured dyspnoea after 12 months by means of a 6-point MRC score. No meta-analysis could be performed.

# DISCUSSION

## Summary of main results

In this update, IPD from three new studies were added to the original review (Wijkstra 2002), and two of these studies were conducted over 12 months, which means we now have some long-term data on which to base our conclusions, including long-term information on quality of life outcomes. Nocturnal-NIPPV at home for at least three months in hypercapnic patients with stable COPD had no consistent clinically or statistically significant effect on gas exchange, exercise tolerance, lung function, respiratory muscle strength or sleep efficiency. Meta-analysis of the two new long-term studies did not show significant improvements in blood gases, HRQoL or lung function after 12 months of NIPPV.

## Overall completeness and applicability of evidence

In this meta-analysis, we did not find statistically significant effects in any included outcomes. By adding data of PaCO<sub>2</sub> after three months from two studies in this update, the improvement of 2.5 mmHg was still not significantly different but the CIs now only just exceeded zero. The small sample size precludes a definitive statement regarding the clinical implications of NIPPV, other than stating that at present there is insufficient evidence to support its widespread use.



Although the improvement of 27.7 m in the 6MWD is not statistically significant, it could be clinically significant as it does reach the clinically minimal important difference of 26 m (Puhan 2011). This meta-analysis of 6WMD after three months, however, was only based on 40 participants and although not contributing to the meta-analysis, the long-term study of Clini 2002 looking at effects on 6MWD after 12 months of NIPPV only found a very small improvement of 3.2 m (95% CI -49.7 to 56.1) in 46 participants.

The upper limit of the CI of 66 m for the 6MWD in the meta-analysis suggests that it remains possible that NIPPV has beneficial effects on walking in at least some people, but it is not possible to identify these people a priori. Additional studies with larger sample sizes that address participant selection, ventilator settings, training and NIPPV compliance should clarify the role of this treatment. Not all outcomes could be combined because of measurements with different scales. Dyspnoea was measured in three studies, but as this was measured with the 5-point MRC scale (Casanova 2000) and 6-point MRC scale (Clini 2002), Borg (Casanova 2000), or TDI (Strumpf 1991) and different lengths of follow-up, data could not be combined. The same applied to HRQoL; three studies examined this outcome but all used different questionnaires ranging from the more generic SF-36 questionnaire (McEvoy 2009) and the (lung) disease specific St. George's Respiratory Questionnaire (Clini 2002; McEvoy 2009; Meecham Jones 1995) to the Mageri Respiratory Failure questionnaire-28 (MRF-28) (Clini 2002), designed for people with respiratory failure. For future studies, it would be of great benefit if the same questionnaires were to be used making comparison and pooling of data possible. The effect of NIPPV may not be captured by the HRQoL questionnaires currently analysed. Several studies have shown good reliability and validity of the Severe Respiratory Insufficiency (SRI) questionnaire and the MRF-28, specifically for people with COPD with hypercapnic respiratory failure (Duiverman 2008; Windisch 2003). It has been suggested to use both these questionnaires, as the SRI focuses more on psychological aspects and the MRF-28 focuses on restrictions of daily living. But moreover, a large trial also looking at the effect of NIPPV on survival, exacerbation frequency and admissions is needed.

## Quality of the evidence

Seven (three new to this update) RCTs with individual data from 245 people were included in this systematic review. Meta-analysis was performed when trials used similar outcome measures. Short-term trials were analysed as one group, measuring effects of NIPPV after three months. Long-term trials comprised the second group, measuring effects of NIPPV after 12 months. All studies described how randomisation was performed and described adequate allocation concealment. Mainly the short-term studies had few participants (between 7 and 36 participants) and sometimes showed large CIs for some outcome measures such as PImax (Strumpf

1991). Two studies show a high dropout rate after randomisation because people were not able to tolerate NIPPV (Gay 1996; Strumpf 1991). Only results from the completers were reported, which could make outcomes susceptible to selection bias. In the other studies, dropout due to non-tolerance was considered small. The two larger studies with long-term follow-up had quite large dropout rates but mainly due to progression of the disease and unwillingness to repeat tests; these were similar amounts between groups. Both studies performed ITT analysis and per-protocol analysis. In this systematic update we only included complete data. The meta-analysis in this review was performed based on IPD. Treatment effects can, therefore, be seen as more conservative (with wider CIs). They take into account not only interstudy variation, but also intrastudy variation.

## Potential biases in the review process

### Limitations regarding the setup of the meta-analyses

The design of this meta-analysis included only studies in which nocturnal-NIPPV was applied for at least five hours per night. This excluded two studies that reported beneficial effects from NIPPV administered for two hours during the day (Diaz 1999; Renston 1994). In keeping with the application of mechanical ventilatory support for people with thoracic restriction or neuromuscular conditions, we considered night-time ventilation to be the most appropriate clinical approach and reasoned that several hours would be required to achieve therapeutic goals. Furthermore, a minimum duration of three weeks was chosen, as from our own clinical experience we were aware that it might take up to two weeks just for mask fitting, adjustment and patient familiarisation with non-invasive ventilation. Therefore, one study in which NIPPV was assessed for only two weeks was excluded from the analysis (Lin 1996).

### Limitations regarding the included studies

We included RCTs that determined the effects of NIPPV versus normal medical treatment. However, it is questionable whether NIPPV with IPAP pressures below 14 cm H<sub>2</sub>O is enough to improve ventilation. As the most appropriate NIPPV settings have yet to be established, it is unclear whether pressures of 10 to 14 cm H<sub>2</sub>O are the optimal pressures for improving ventilation in people with COPD (Casanova 2000; Gay 1996). Since the 1990s, several non-randomised trials studied a new form of NIPPV aimed at maximally reducing PaCO<sub>2</sub> levels by means of high IPAP pressures (Windisch 2009). This form is called high-intensity NIPPV (HI-NIPPV) where pressures are carefully increased from 20 H<sub>2</sub>O up to 40 H<sub>2</sub>O depending on patient comfort and tolerance. These studies all had a mean IPAP of around 30 H<sub>2</sub>O and showed improvements in blood gases and alveolar ventilation during spon-

taneous breathing, and also improvements in lung function and HRQoL. One randomised controlled cross-over trial subsequently followed comparing six weeks of HI-NIPPV (mean IPAP 29 H<sub>2</sub>O in controlled mode) to six weeks of low-intensity NIPPV (mean IPAP 15 H<sub>2</sub>O in assist mode) (Dreher 2010). Thirteen people completed the trial and a mean treatment effect on PaCO<sub>2</sub> of 9.2 mmHg (95% CI -13.7 to -4.6) in favour of HI-NIPPV was shown, as well as an improved FEV<sub>1</sub>, FVC and HRQoL as assessed by the SRI. Somewhat surprisingly, participants showed a higher compliance in the HI-NIPPV group. One randomised cross-over study of 15 people was performed to investigate the acute physiological changes during 30 minutes of both forms of NIPPV in stable COPD (Lukácsovits 2012). Significant improvements in PaCO<sub>2</sub> during HI-NIPPV (mean IPAP 28 H<sub>2</sub>O) compared to low NIPPV (mean IPAP 18 H<sub>2</sub>O) were also found in this study. However, the decrease in cardiac output was significantly more pronounced with HI-NIPPV, leading the authors to speculate on possible limitations of this method for people with pre-existing cardiac disease.

One published, long-term RCT that compared the effects of NIPPV, not to standard care, but in addition to rehabilitation (Duiverman 2011), showed a significant decrease in PaCO<sub>2</sub> in the NIPPV plus rehabilitation group as compared to the rehabilitation only group. Interestingly, although mean levels of IPAP after three months were 20 cm H<sub>2</sub>O and after two years were 23 cm H<sub>2</sub>O, there was no relationship identified between the change in PaCO<sub>2</sub> and the level of IPAP (or with the inspiratory pressure difference (IPAP minus EPAP)). Change in PaCO<sub>2</sub> after three months did correlate with baseline PaCO<sub>2</sub> or with the number of hours of NIPPV use per day.

## Agreements and disagreements with other studies or reviews

In 2007, one systematic review on NIPPV in people with stable COPD was published (Kolodziej 2007). An important difference with this systematic review is the inclusion of non-RCTs. They found improvements in blood gasses, hyperinflation and work of

breathing, but only in a combined analysis of non-randomised trials with evident heterogeneity. This heterogeneity was probably the result of including studies with different lengths of follow-up, usages per day and types of ventilation (during the day or at night).

## AUTHORS' CONCLUSIONS

### Implications for practice

Nocturnal-non-invasive positive pressure ventilation at home for 3 and 12 months in hypercapnic patients with COPD had no clinically or statistically significant effect on gas exchange, exercise tolerance, quality of life, lung function, respiratory muscle strength or sleep efficiency.

### Implications for research

Future research should focus on adequate patient selection, ventilator settings, training and length of ventilation, as well as exacerbation frequency, admissions to hospital and survival. During ventilation, people should be monitored carefully, to observe more precisely the changes that are occurring with non-invasive ventilation. Long-term non-invasive ventilation for people with COPD should only be started in the context of a clinical trial, preferably with agreed upon common outcome parameters.

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\* Indicates the major publication for the study

## CHARACTERISTICS OF STUDIES

### Characteristics of included studies [ordered by study ID]

#### Casanova 2000

Methods	Randomised, parallel, controlled study	
Participants	52 people with COPD, FEV <sub>1</sub> 0.85 L, PaCO <sub>2</sub> 51 mmHg. Raw data for 36 people with COPD, FEV <sub>1</sub> 0.84 L, PaCO <sub>2</sub> 51 mmHg	
Interventions	26 people received standard care plus nocturnal-NIPPV (IPAP 12 to 14, EPAP 4) for 12 months, while the other 26 continued optimal standard care	
Outcomes	After 3 months: BGA, lung function, dyspnoea (MRC and Borg scale), PImax/PEmax After 12 months: exacerbation rate, hospital admissions, intubations and mortality	
Notes	Funding: supported in part by a grant from the Spanish Respiratory Society	
<i>Risk of bias</i>		
<b>Bias</b>	<b>Authors' judgement</b>	<b>Support for judgement</b>
Random sequence generation (selection bias)	Low risk	Quote: "The 52 patients who met the study criteria were randomised by an independent office into two groups using a table of random numbers"
Allocation concealment (selection bias)	Low risk	Quote: "Randomised by an independent office using a table of random numbers"
Blinding of participants and personnel (performance bias) All outcomes	Low risk	Participants: no blinding for treatment Quote (from correspondence): "Blinded: for gas exchange and lung function"
Incomplete outcome data (attrition bias) All outcomes	Low risk	Quote: "Inclusion of the patients who did not complete the trial (intent to treat) did not affect any of the outcomes"
Selective reporting (reporting bias)	Unclear risk	All outcomes listed in the Methods were reported
Other bias	Low risk	The study appears to be free of other source of bias

## Clini 2002

Methods	Randomised, parallel, controlled study
Participants	90 people with COPD randomised; FEV <sub>1</sub> % predicted 29, PaCO <sub>2</sub> 55 mmHg. Raw data for 80 people with COPD, FEV <sub>1</sub> 0.75 L, PaCO <sub>2</sub> 56 mmHg
Interventions	43 people received standard care plus nocturnal-NIPPV (IPAP 14, EPAP 2) for 24 months and 47 continued optimal standard care
Outcomes	After 3 months: BGA, hospitalisations After 12 months: BGA, lung function, dyspnoea (MRC), 6MWD, PImax, sleep studies (4-point scale), QoL (SGRQ and MRF-28) and hospitalisation
Notes	Funding: this study was supported by AIPO (Italian Association of Hospital Pulmonologists) and Markos-Mefar through Air Liquide Group Italia

### *Risk of bias*

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	Quote: "...a centralised randomisation was used"
Allocation concealment (selection bias)	Low risk	Quote: "Because of the small number of eligible patients in each centre, a centralised randomisation was used. Blocks were used to provide balanced groups in the overall enrolment (not inside each centre)"
Blinding of participants and personnel (performance bias) All outcomes	Low risk	Participants: no blinding for treatment Quote: "All physiological measurements were performed by personnel blind to treatment and not involved in the study"
Incomplete outcome data (attrition bias) All outcomes	Low risk	Quote: "Main parameters were evaluated both in terms of patient completers and of intention-to-treat"
Selective reporting (reporting bias)	Unclear risk	All outcomes listed in the Methods were reported
Other bias	Low risk	The study appears to be free of other source of bias

## Gay 1996

Methods	Randomised, parallel, controlled study.
Participants	13 people with COPD randomised, FEV <sub>1</sub> 0.67 L, PaCO <sub>2</sub> 52 mmHg. Raw data for 10 people with COPD, FEV <sub>1</sub> 0.68 L, PaCO <sub>2</sub> 52 mmHg
Interventions	7 people received nocturnal-NIPPV (IPAP 10, EPAP 2) for 3 months, while 6 received sham NIPPV (with maximal medical care)
Outcomes	After 3 months: BGA, lung function, 6MWD, sleep study (sleep efficiency), PImax/PEmax
Notes	Funding: this study was supported in part by Grant MOI RR 00585 from the National Institutes of Health, Public Health Service, and the Mayo Foundation

### *Risk of bias*

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	Quote: "By randomisation..."
Allocation concealment (selection bias)	Low risk	Quote (from correspondence): "It was done with a sealed envelope randomisation technique"
Blinding of participants and personnel (performance bias) All outcomes	Low risk	Participants: blinded for intervention (as it was NIPPV versus sham) Personnel: unknown
Incomplete outcome data (attrition bias) All outcomes	High risk	Final analysis was done with only the completers; 4 out of 7 people who were still using ventilation and all the 6 people in sham group
Selective reporting (reporting bias)	Unclear risk	All outcomes listed in the Methods were reported
Other bias	Low risk	The study appears to be free of other source of bias

## McEvoy 2009

Methods	Randomised, parallel, controlled study
Participants	144 people with COPD randomised; FEV <sub>1</sub> 0.59 L, PaCO <sub>2</sub> 53.5 mmHg. Raw data 81 people with COPD, FEV <sub>1</sub> 0.65 L, PaCO <sub>2</sub> 54 mmHg
Interventions	72 people received standard care plus nocturnal-NIPPV (IPAP 13, EPAP 5) for 24 months and 72 continued optimal standard care

Outcomes	After 12 months: BGA, lung function, sleep studies (total sleep time in only NIPPV group), HRQoL (SGRQ and SF-36), hospitalisation rates (days on trial:days in hospital rate), survival	
Notes	Funding: Australian National Health and Medical Research Council, Air Liquide Health-care, Australian Lung Foundation	
<i><b>Risk of bias</b></i>		
<b>Bias</b>	<b>Authors' judgement</b>	<b>Support for judgement</b>
Random sequence generation (selection bias)	Low risk	Quote: "Patients were randomly assigned..."
Allocation concealment (selection bias)	Low risk	Quote: "The central study coordinator generated a random sequence of treatment assignments that were stratified by centre and distributed in blocks of 10 sealed opaque envelopes to centres"
Blinding of participants and personnel (performance bias) All outcomes	Low risk	No blinding for participants, intervention or personnel
Incomplete outcome data (attrition bias) All outcomes	Low risk	Quote: "All primary analyses were conducted on an intention-to-treat basis. However, since a proportion of patients assigned to NIV treatment did not use the treatment regularly or abandoned it altogether after a time, a planned per protocol sub analysis was conducted comparing outcomes of patients in the treatment arm who used NIV consistently (defined as average > 4 hours per night) with patients in the control arm"
Selective reporting (reporting bias)	Unclear risk	All outcomes listed in the Methods were reported
Other bias	Low risk	The study appears to be free of other source of bias

**Meecham Jones 1995**

Methods	Randomised, controlled, cross-over study	
Participants	18 people with COPD randomised, FEV <sub>1</sub> 0.86 L, PaCO <sub>2</sub> 56 mmHg. Raw data 14 people with COPD, FEV <sub>1</sub> 0.84 L, PaCO <sub>2</sub> 56 mmHg	
Interventions	Nocturnal-NIPPV (IPAP 18, EPAP 2) for 3 months, while the control group continued optimal medical care including long-term oxygen	
Outcomes	After 3 months: BGA, lung function, 6MWD, HRQoL, sleep study (sleep efficiency)	
Notes	Funding: supported by a grant from the British Lung Foundation	
<i>Risk of bias</i>		
<b>Bias</b>	<b>Authors' judgement</b>	<b>Support for judgement</b>
Random sequence generation (selection bias)	Low risk	Quote: "Randomization was achieved with a previously generated randomised sequence"
Allocation concealment (selection bias)	Low risk	Quote from correspondence: "The sequence was kept by another research fellow unconnected with the study and I was made aware of the randomisation as patients were recruited"
Blinding of participants and personnel (performance bias) All outcomes	Low risk	No
Incomplete outcome data (attrition bias) All outcomes	Low risk	Quote: "Fourteen of the 18 patients completed all stages of the study." Reasons for withdrawal for all 4 participants were mentioned. One patient did not tolerate NIPPV. Final analysis was done with the 14 completers
Selective reporting (reporting bias)	Unclear risk	All outcomes listed in the Methods were reported
Other bias	Low risk	The study appears to be free of other source of bias

Sin 2007

Methods	Randomised, parallel, controlled study	
Participants	23 people with COPD randomised; FEV <sub>1</sub> 0.86 L, PaCO <sub>2</sub> 44.2 mmHg. Raw data 17 people with COPD, FEV <sub>1</sub> 0.88 L, PaCO <sub>2</sub> 43 mmHg	
Interventions	11 people received standard medical therapy plus nocturnal-NIPPV (IPAP 16, EPAP 4) for 3 months while 12 people received standard medical therapy plus sham NIPPV	
Outcomes	After 3 months: BGA, lung function and 6MWD	
Notes	Funding: this project is supported by Canadian Institutes of Health Research (clinical trials), The Institute of Health Economics, and the University of Alberta Hospital Foundation	
<i><b>Risk of bias</b></i>		
<b>Bias</b>	<b>Authors' judgement</b>	<b>Support for judgement</b>
Random sequence generation (selection bias)	Low risk	Quote: "Recruited patients were randomly assigned to..."
Allocation concealment (selection bias)	Low risk	Quote: "Randomization occurred at a central site by one individual who was unaware of patients' clinical status"
Blinding of participants and personnel (performance bias) All outcomes	Low risk	Double-blind design. Participants were blind to intervention treatment (NIPPV versus sham) and: "All outcome measurements were performed and interpreted by personnel who were blinded to treatment allocation of patients"
Incomplete outcome data (attrition bias) All outcomes	Low risk	Quote: "Two out of eleven subjects refused any nocturnal therapy following randomisation and were excluded from the main analysis"
Selective reporting (reporting bias)	Unclear risk	All outcomes listed in the Methods were reported
Other bias	Unclear risk	At baseline no significant differences except for FEV <sub>1</sub> , which was significantly higher in the group that received NIPPV



## Strumpf 1991

Methods	Randomised, controlled, cross-over study
Participants	19 people with COPD randomised, FEV <sub>1</sub> 0.54 L, PaCO <sub>2</sub> 49 mmHg. Raw data 7 people with COPD, FEV <sub>1</sub> 0.54 L, PaCO <sub>2</sub> 46 mmHg
Interventions	Nocturnal-NIPPV (IPAP 15, EPAP 2) for 3 months, while the control group continued optimal medical care
Outcomes	BGA, lung function, PImax/ PEmax, sleep study (sleep efficiency), walking test (treadmill walking time), dyspnoea (Transitional Dyspnea Index)
Notes	Funding: supported in part by a grant from Respironics, Inc., Monroeville, Pennsylvania

### *Risk of bias*

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	Quote: "Using a randomised, crossover design..."
Allocation concealment (selection bias)	Low risk	Quote (from correspondence): "Computer-generated random number sequence placed in envelopes that were opened as patients were enrolled"
Blinding of participants and personnel (performance bias) All outcomes	Low risk	Participants: no blinding for treatment (cross-over) Quote: "All physiological measurements were performed by personnel blind to treatment and not involved in the study"
Incomplete outcome data (attrition bias) All outcomes	High risk	Reasons for withdrawal from study were mentioned for every participant. 7 people withdrew due to intolerance, 5 of intercurrent illness. Final analysis in article was done with the 7 people who completed both arms
Selective reporting (reporting bias)	Unclear risk	All outcomes listed in the Methods were reported
Other bias	Low risk	The study appears to be free of other source of bias

6MWD: 6-minute walking distance; BGA: blood gas analysis; COPD: chronic obstructive pulmonary disease; FEV<sub>1</sub>: forced expiratory volume in 1 second; HRQoL: health-related quality of life; MRC: Medical Research Council scale; MRF-28: Mageri Respiratory Failure questionnaire-28; NIPPV: non-invasive positive pressure ventilation; PaCO<sub>2</sub>: arterial carbon dioxide tension; PImax: maximal inspiratory pressure; PEmax: maximal expiratory pressure; QoL: quality of life; SF-36: Short Form - 36 items; SGRQ: St. George's Respiratory Questionnaire.

### Characteristics of excluded studies *[ordered by study ID]*

Study	Reason for exclusion
<a href="#">Clini 1998</a>	The assignment of the participants to the 2 groups was not randomised
<a href="#">Diaz 1999</a>	Participants received NIPPV for 3 hours a day. We believe that NIPPV should be applied during the night for at least 5 hours per night
<a href="#">Kamei 1999</a>	The assignment of the participants to either NIPPV or long-term oxygen therapy groups was not randomised
<a href="#">Lin 1996</a>	Participants received NIPPV for only 2 weeks. It is difficult to start NIPPV in people with COPD, therefore, it is necessary to determine the effects after a longer period so the participants can adjust to the ventilator
<a href="#">Renston 1994</a>	People received NIPPV for 2 hours a day for 5 day. We believe that NIPPV should be applied during the night for at least 5 hours per night

COPD: chronic obstructive pulmonary disease; NIPPV: non-invasive positive pressure ventilation.

### Characteristics of studies awaiting assessment *[ordered by study ID]*

#### [Xiang 2007](#)

Methods	Randomised, parallel controlled study
Participants	40 people with COPD
Interventions	Nocturnal-NIPPV and long-term oxygen therapy versus long-term oxygen therapy alone for 2 years
Outcomes	BGA, lung function, dyspnoea, 6MWD, respiratory muscle score, mortality, hospital admissions, dropout rate, anxiety scores
Notes	The article was written in Chinese. This study probably meets the review eligibility criteria, but verifying how the study was conducted based on the English information available was not possible as authors did not respond to clarify important issues

6MWD: 6-minute walking distance; BGA: blood gas analysis; COPD: chronic obstructive pulmonary disease; NIPPV: non-invasive positive pressure ventilation.

## DATA AND ANALYSES

This review has no analyses.

## ADDITIONAL TABLES

Table 1. Results of meta-analysis individual patient data

Outcomes	Trial	Number (nocturnal-NIPPV/control)	Tx Effect*	95% CI	Homogeneity of Tx Effect, P Value
PaO <sub>2</sub> (3 months)	Casanova 2000; Clini 2002; Gay 1996; Meecham Jones 1995; Sin 2007; Strumpf 1991	79/83	1.30	-0.71 to 3.30	0.4787
PaO <sub>2</sub> (12 months)	Clini 2002; McEvoy 2009	62/56	-1.77	-8.60 to 5.07	0.2412
PaCO <sub>2</sub> (3 months)	Casanova 2000; Clini 2002; Gay 1996; Meecham Jones 1995; Sin 2007; Strumpf 1991	79/83	-2.50	-5.28 to 0.29	0.2607
PaCO <sub>2</sub> (12 months)	Clini 2002; McEvoy 2009	62/56	-0.96	-3.55 to 1.64	0.8290
6MWD (3 months)	Gay 1996; Meecham Jones 1995; Sin 2007	21/19	27.7	-11.0 to 66.3	0.5662
6MWD (12 months)	Clini 2002	25/21	3.2	-49.7 to 56.1	-
SGRQ Total (12 months)	Clini 2002; McEvoy 2009	50/53	0.90	-19.21 to 21.01	0.0288
FEV <sub>1</sub> (3 months)	Casanova 2000; Gay 1996; Meecham Jones 1995; Sin 2007; Strumpf 1991	42/41	-0.01	-0.09 to 0.07	0.2413
FEV <sub>1</sub> (12 months)	Clini 2002; McEvoy 2009	63/62	-0.01	-0.07 to 0.04	0.7445

**Table 1. Results of meta-analysis individual patient data** (Continued)

<b>FVC (3 months)</b>	Casanova 2000; Clini 2002; Gay 1996; Meecham Jones 1995; Sin 2007; Strumpf 1991	42/40	0.00	-0.13 to 0.14	0.9570
<b>FVC (12 months)</b>	Clini 2002; McEvoy 2009	63/62	0.04	-0.12 to 0.20	0.4510
<b>PI<sub>max</sub> (3 months)</b>	Casanova 2000; Gay 1996; Strumpf 1991	24/24	4.87	-1.48 to 11.21	0.5538
<b>PI<sub>max</sub> (12 months)</b>	Clini 2002	29/23	-2.31	-9.50, 4.89	-
<b>PE<sub>max</sub> (3 months)</b>	Casanova 2000; Gay 1996; Strumpf 1991	24/24	22.09	-23.53 to 67.70	0.0002
<b>Sleep efficiency (3 months)</b>	Gay 1996; Meecham Jones 1995; Strumpf 1991	13/11	-9.11	-38.09 to 19.86	0.0022

6MWD: 6-minute walking distance; CI: confidence interval; FEV<sub>1</sub>: forced expiratory volume in 1 second; FVC: forced vital capacity; NIPPV: non-invasive positive pressure ventilation; PaCO<sub>2</sub>: arterial carbon dioxide tension; PaO<sub>2</sub>:arterial oxygen tension; PE<sub>max</sub>: maximal expiratory pressure; PI<sub>max</sub>: maximal inspiratory pressure; SGRQ-Total: total score on the St. George's Respiratory Questionnaire; Tx effect: treatment effect.

\* An overall treatment effect (mean difference and associated 95% CI) was obtained from the difference in scores under each study condition (NIPPV minus controls). Individual patient data were analysed using a linear mixed model to compare the treatment effects. Treatment and time of follow-up (3 and 12 months) were analysed with interaction terms as fixed factors. To consider the homogeneity among trials, a random factor was defined in the statistical models. Statistical significance (P value < 0.05) in the test of homogeneity suggested that the observed difference in the treatment effects was in part attributable to the study effect.

## APPENDICES

### Appendix I. Sources and search methods for the Cochrane Airways Group Specialised Register (CAGR)

#### Electronic searches: core databases

Database	Frequency of search
CENTRAL ( <i>The Cochrane Library</i> )	Monthly
MEDLINE (Ovid)	Weekly
EMBASE (Ovid)	Weekly
PsycINFO (Ovid)	Monthly
CINAHL (EBSCO)	Monthly
AMED (EBSCO)	Monthly

#### Handsearches: core respiratory conference abstracts

Conference	Years searched
American Academy of Allergy, Asthma and Immunology (AAAAI)	2001 onwards
American Thoracic Society (ATS)	2001 onwards
Asia Pacific Society of Respiriology (APSR)	2004 onwards
British Thoracic Society Winter Meeting (BTS)	2000 onwards
Chest Meeting	2003 onwards
European Respiratory Society (ERS)	1992, 1994, 2000 onwards
International Primary Care Respiratory Group Congress (IPCRG)	2002 onwards
Thoracic Society of Australia and New Zealand (TSANZ)	1999 onwards

## MEDLINE search strategy used to identify trials for the CAGR

### COPD search

1. Lung Diseases, Obstructive/
2. exp Pulmonary Disease, Chronic Obstructive/
3. emphysema\$.mp.
4. (chronic\$ adj3 bronchiti\$).mp.
5. (obstruct\$ adj3 (pulmonary or lung\$ or airway\$ or airflow\$ or bronch\$ or respirat\$)).mp.
6. COPD.mp.
7. COAD.mp.
8. COBD.mp.
9. AECB.mp.
10. or/1-9

### Filter to identify RCTs

1. exp "clinical trial [publication type]"/
2. (randomised or randomised).ab,ti.
3. placebo.ab,ti.
4. dt.fs.
5. randomly.ab,ti.
6. trial.ab,ti.
7. groups.ab,ti.
8. or/1-7
9. Animals/
10. Humans/
11. 9 not (9 and 10)
12. 8 not 11

(The MEDLINE strategy and RCT filter are adapted to identify trials in other electronic databases)

## WHAT'S NEW

Date	Event	Description
4 June 2014	Amended	PLS title amended

## HISTORY

Date	Event	Description
1 February 2013	New search has been performed	New literature search done
28 January 2013	New citation required but conclusions have not changed	A new search was performed which identified 3 new eligible studies ( <a href="#">Clini 2002</a> ; <a href="#">McEvoy 2009</a> ; <a href="#">Sin 2007</a> ). One trial is listed as 'awaiting classification' until we can clarify the methods used in their study. The review has been re-written and there has been a change to the list of authors and the review now also contains long-term data (after 12 months)
13 August 2008	Amended	Converted to new review format.
2 January 2002	New citation required and conclusions have changed	Substantive amendment

## CONTRIBUTIONS OF AUTHORS

Update 2012: Struik and Wijkstra searched and reviewed relevant papers, collected IPD of the newly included RCTs, authors of the review. Lacasse: statistical analysis of IPD, co-author of the review. Goldstein and Kerstjens: review development, co-author of the review.

Original review: Wijkstra: searched and reviewed relevant papers, collected IPD of included RCTs, author of the review. Lacasse: statistical analysis of IPD, co-author of the review. Guyatt: co-author of the review. Goldstein: search and reviewing of relevant papers, co-author of the review.

## DECLARATIONS OF INTEREST

None known.

## DIFFERENCES BETWEEN PROTOCOL AND REVIEW

We selected three of the primary outcomes from the last update as our primary outcomes in consultation with the Cochrane Airways Group.

## INDEX TERMS

### **Medical Subject Headings (MeSH)**

Diaphragm [physiopathology]; Hypercapnia [etiology; physiopathology; \*therapy]; Partial Pressure; Positive-Pressure Respiration [\*methods]; Pulmonary Disease, Chronic Obstructive [complications; physiopathology; \*therapy]; Pulmonary Gas Exchange; Quality of Life; Sleep [physiology]; Time Factors; Walking

### **MeSH check words**

Humans